



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Sharon Liu, et al.

Serial No.: 09/483,724

Filed on: January 14, 2000

) Confirmation No.: 8756  
)  
) Examiner: AKPATI,  
) ODAICHE T.  
)  
) Group Art Unit No.: 2131

For: MECHANISM FOR DYNAMICALLY CONSTRUCTING CUSTOMIZED  
IMPLEMENTATIONS TO ENFORCE RESTRICTIONS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

- \_\_\_\_\_ 37 C.F.R. §1.97(b).
- X 37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:
- X A statement pursuant to 37 C.F.R. §1.97(e)
- X 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart

foreign application not more than three months prior to the filing of this information disclosure statement.

\_\_\_\_\_ 1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

\_\_\_\_\_ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

\_\_\_\_\_ 37 C.F.R. §1.97(d). If so, then this Information Disclosure Statement includes the following:

\_\_\_\_\_ A statement pursuant to 37 C.F.R. §1.97(e)

\_\_\_\_\_ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR

\_\_\_\_\_ 1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

AND

\_\_\_\_\_ A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.

\_\_\_\_\_ 37 C.F.R. §1.97(i). Wherein applicants are submitting references before the grant of a patent to be placed in the file but not considered by the Patent office.

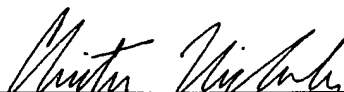
- (1) Accordingly, copies of the references as listed on the attached Form PTO 1449 are submitted herewith for placement in the file. No certification or fees are deemed necessary.

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: January 30, 2004

  
\_\_\_\_\_  
Christian A. Nicholes  
Reg. No. 50,266

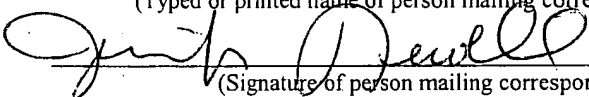
1600 Willow Street  
San Jose, California 95125-5106  
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on  
January 30, 2004  
(Date of Deposit)

Jennifer Newell

(Typed or printed name of person mailing correspondence)

  
\_\_\_\_\_  
(Signature of person mailing correspondence)

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 JUN 30 2006  
 PATENT & TRADEMARK OFFICE

**SERIAL NO.**  
09/483,724

**FILING DATE:**  
January 14, 2000

**GROUP:**  
2131

[illegible][illegible]

Date Considered

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Page 1 of 2

Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)	Attorney Docket No.: 15437-0112	Application Number: 09/483,724
	First Named Inventor: Sharon S. Liu Filing Date: January 14, 2000	

**OTHER ART – NO PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
		Don Tibes, "Regression Testing and Conformance Testing Interactive Programs," Summer '92 USENIX - June 8-June 12, 1992 - San Antonio, TX, XP-002262434, pp. 135-144.	
		P. Thevenod-Fosse, et al., "An Experimental Study on Software Structural Testing: Deterministic Versus Random Input Generation," IEEE, 1991, pp. 410-417.	
		"Definition of wrapper", FOLDOC DICTIONARY OF COMPUTING, 12/15/1998, XP-002263084, 1 page.	
		M. Mullin, "Object Oriented Program Design," July 1992, XP-002263085, pp. 54-58, 287-288.	

Examiner Signature		Date Considered	
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Docket No. 15437-0112  
P4552-US-NP-0

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Confirmation No.: 8756

Sharon S. Liu, et al.

Serial No.: 09/483,724

Group Art Unit: 2135

Filed: January 14, 2000

Examiner: Truong, Thanhinga B.

For: MECHANISM FOR DYNAMICALLY CONSTRUCTING CUSTOMIZED  
IMPLEMENTATIONS TO ENFORCE RESTRICTIONS

**REQUEST FOR COPY OF INITIALED FORM-1449**

Mail Stop Issue Fee  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The Applicant respectfully requests an initialed copy of the Information Disclosure Citation Forms PTO-1449 that has previously been submitted by the Applicant. A Petition to Defer Issuance of the above-identified patent application under 37 C.F.R. § 1.314 is being submitted herewith. The Petition to Defer Issuance is being filed to allow sufficient time for the Examiner to obtain the case file and to provide the requested copy of the PTO Form-1449 showing the examiner's initials indicating that the references listed have been considered and will appear as "References Cited" on the cover page of the patent. A copy of this petition is attached to this request.

The Form 1449 related to this request was submitted by the Applicant on January 30, 2004, and was received by the Patent Office on February 4, 2004. Please see the copy of the Information Disclosure Statement submittal, Form 1449, and the acknowledgement

postcard returned by the Patent Office that are attached to the enclosed copy of the petition. To date the Applicant has not received from the Patent Office a copy of the January 30, 2004 Form 1449. The Applicant respectfully requests that the Examiner provide a copy of the January 30, 2004 Form 1449 that shows the Examiner's initials by each citation per MPEP §609(C(2)), thereby indicating that each citation has been considered.

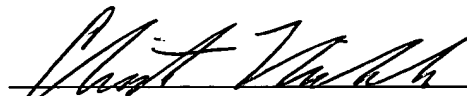
The Examiner is respectfully requested to contact the undersigned by telephone if it is believed that such contact would further the consideration of this request.

To the extent necessary, a petition for an extension of time under 37 C.F.R. § 1.136 is hereby made. Please charge any shortages or credit any overages to Deposit Account No. 50-1302.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Date: 6/27/06


  
Christian A. Nicholes  
Reg. No. 50,266

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San Jose, CA 95110-1089  
(408) 414-1080  
Facsimile: (408) 414-1076

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450

on 6/27/06

by   
Annette Valdivia



**Acknowledgment Receipt**

Mailing Date: January 30 2004

Attorney: CAN/jn

Attorney Docket No. 15437-0112

First Class Mail

Serial No.: 09/483,724

Inventor(s): Sharon Liu, et al.

Title: MECHANISM FOR DYNAMICALLY CONSTRUCTING CUSTOMIZED  
IMPLEMENTATIONS TO ENFORCE RESTRICTIONS

DATA BASE  
ENTRY  
processed by docketing  
no dates docketed

**Documents Enclosed:**

- 1) Information Disclosure Statement (3 pgs)
- 2) PTO 1449 (2 pgs)
- 3) 6 References Cited
- 4) Return Acknowledgment Postcard



*Kindly stamp the receipt date and return to addressee to acknowledge receipt of the above documents.*

P4552NP



*Copy*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Confirmation No.: 8756

Sharon S. Liu, et al.

Serial No.: 09/483,724

Group Art Unit: 2135

Filed: January 14, 2000

Examiner: Truong, Thanhinga B.

For: MECHANISM FOR DYNAMICALLY CONSTRUCTING CUSTOMIZED  
IMPLEMENTATIONS TO ENFORCE RESTRICTIONS

**PETITION TO DEFER ISSUE OF PATENT  
UNDER 37 CFR §1.314**

Mail Stop Issue Fee  
Commissioner of Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The Applicant hereby petitions to defer issue of the above-identified patent application under 37 C.F.R. § 1.314 to allow sufficient time for the Examiner to consider the pending Rule 312 Amendment that was filed May 17, 2006, and for the Examiner to provide an initialed copy of the Information Disclosure Citation Form PTO-1449 that has previously been submitted by the Applicant.

The Rule 312 Amendment amends the specification to provide the serial number 60/174,630 and does not add any new matter to the application.

This petition is also made to allow sufficient time for the Examiner to obtain the case file and to provide the requested copy of the Form-1449 showing the Examiner's initials indicating that the references listed have been considered and will appear as "References Cited" on the cover page of the patent.



A separate request to the Examiner to provide an initialed copy of the January 30, 2004 Form-1449 is being sent to the U.S. Patent and Trademark Office on the same day as this petition to defer issue. A copy of this request is attached to this petition.

Based on the foregoing, it respectfully submitted that Applicant has shown good and sufficient reasons why it is necessary to defer issuance of the patent as requested.

The Office of Petitions is respectfully requested to contact the undersigned by telephone if it is believed that such contact would further the consideration of this petition.

Pursuant to 37 C.F.R. § 1.314, enclosed is a check for the fee of \$130.00 as specified in 37 C.F.R. § 1.17(h). To the extent necessary, a petition for an extension of time under 37 C.F.R. § 1.136 is hereby made. Please charge any shortages or credit any overages to Deposit Account No. 50-1302.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Date:

6/27/06



Christian A. Nicholes

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